

#6  
LDT  
10-30-03

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Edward N. Ignatoff, Ziya A. Aral, Roni Putra, and Nicholas C. Connolly

RECEIVED

APPLICATION NO.: 10/035,790

SEP 26 2003

FILING DATE: December 24, 2001

Technology Center 2100

TITLE: SCSI Transport Protocol Via TCP/IP Using Existing Network  
Hardware and Software

EXAMINER: Ayaz R. Sheikh

GROUP ART UNIT: 2155

ATTY. DKT. NO.: 61628-05665

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P. O. 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: September 18, 2003

By: Linda McGuire  
Linda McGuire

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

**REQUEST TO CORRECT INVENTORSHIP IN A NONPROVISIONAL PATENT  
APPLICATION UNDER 37 C.F.R §1.48(a)**

SIR:

It is respectfully requested that the above-referenced nonprovisional patent application be amended to add Nicholas C. Connolly as co-inventor. This inventorship error was made without deceptive intention on the part of the inventor being added.

55/24/2003 SSESHE1 00000075 10035790

CC FC:1450

130.00 OP

61628/05665/DOCS/1331132.1

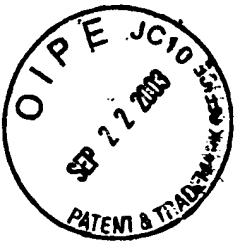
In support of this petition, the Applicants submit the following:

1. A statement from Nicholas C. Connolly, who is being added as an inventor, that the error in inventorship occurred without deceptive intention on his part.
2. An oath or declaration by the actual inventors as required by 37 C.F.R. §1.63.
3. A statement under 37 C.F.R. §1.48(a) of the Assignee agreeing to the change in inventorship. This statement complies with 37 C.F.R. §3.73(b); and
4. The fee of \$130.00 as set forth in 37 C.F.R. §1.17(i).

Respectfully submitted,  
EDWARD N. IGNATOFF, ZIYA A. ARAL,  
RONI PUTRA, AND NICHOLAS C.  
CONNOLLY

Dated: September 18, 2003

By:   
Stuart P. Meyer, Reg. No. 33,426  
FENWICK & WEST LLP  
801 California Street  
Mountain View, CA 94041  
Tel: (650) 335-7286  
Fax: (650) 938-5200



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Edward N. Ignatoff, Ziya A. Aral, Roni Putra and Nicholas C. Connolly

APPLICATION NO.: 10/035,790

FILING DATE: December 24, 2001

TITLE: SCSI Transport Protocol Via TCP/IP Using Existing Network  
Hardware And Software

EXAMINER: Ayaz R. Sheikh

GROUP ART UNIT: 2155

ATTY. DKT. NO.: 61628-05665

RECEIVED

SEP 26 2003

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313, on the date shown below:

Dated:

Sept. 18, 2003

By:

Linda McGuire  
Linda McGuire

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313

STATEMENT OF NICHOLAS C. CONNOLLY IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP

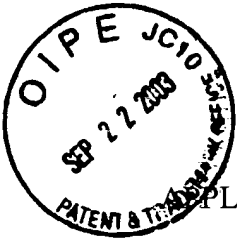
I, Nicholas C. Connolly, declare that:

1. I am a joint inventor of the above-referenced patent application, which was filed on December 24, 2001, as U.S. Patent Application No. 10/035,790, listing Edward N. Ignatoff, Ziya A. Aral and Roni Putra as inventors.

2. The inventorship error of failing to include me as a joint inventor of the above-referenced patent application occurred without any deceptive intention on my part or on the part of the applicants.

Date: 16<sup>th</sup> September 2003

By:   
Nicholas C. Connolly



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Edward N. Ignatoff, Ziya A. Aral, Roni Putra and Nicholas C. Connolly

APPLICATION NO.: 10/035,790

FILING DATE: December 24, 2001

TITLE: SCSI Transport Protocol Via TCP/IP Using Existing Network Hardware and Software

EXAMINER: Ayaz R. Sheikh

GROUP ART UNIT: 2155

ATTY. DKT. NO.: 61628-05665

RECEIVED

SEP 26 2003

Technology Center 2100

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313, on the date shown below:

Dated: Sept. 18, 2003 By: Linda McGuire  
Linda McGuire

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313

**STATEMENT OF ASSIGNEE CONSENTING TO CHANGE OF INVENTORSHIP  
UNDER 37 C.F.R. §1.48(a)**

SIR:

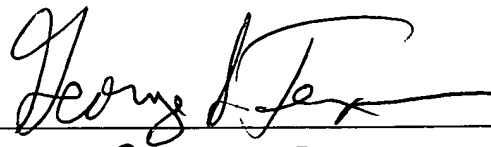
The Assignee for the above-referenced patent, DataCore Software Corporation, declares that:

1. It is the assignee of the entire right, title and interest in the patent identified above by virtue of a first assignment from the originally named inventors of the patent, Edward N. Ignatoff, Ziya A. Aral, and Roni Putra, which was recorded in the Patent and Trademark Office at Reel 012805, Frame 0975, on April 10, 2002 and a second Assignment from Nicholas C. Connolly. Copies of the Assignments are attached hereto.

3. The Assignee for the above-referenced patent identified above agrees to the change of inventorship.

4. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

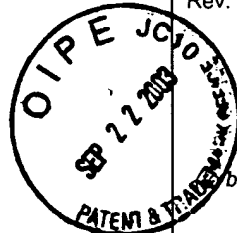
Dated: \_\_\_\_\_

Signed By: 

Name (type or print): George Teixeira

Title: CEO and President

2153/18  
+



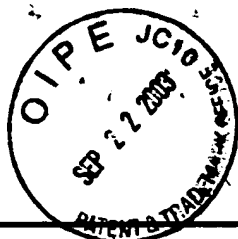
0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number	10/035,790
<b>TRANSMITTAL FORM</b> (to be used for all correspondence during pendency of filed application)				Filing Date	December 24, 2001
				First Named Inventor	Edward N. Ignatoff
				Group Art Unit Number	2155
				Examiner Name	Hosain T. Alam
Total Number of Pages in This Submission		15	Attorney Docket Number		61628-05665

RECEIVED

ENCLOSURES (check all that apply)		SEP 26 2003
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Checks Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Request for Correction of Recorded Assignment <input checked="" type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheets of Figures [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Request to Correct Inventorship in a Nonprovisional Patent Application under 37 C.F.R. §1.48(a) <input checked="" type="checkbox"/> Statement of Nicholas C. Connolly in Support of Request to Correct Inventorship <input checked="" type="checkbox"/> Statement of Assignee Consenting to Change of Inventorship <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Technology Center 2100
REMARKS:		

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Stuart P. Meyer / Reg. No. 33,426	Dated:	Sept. 18, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Linda McGuire	Dated:	Sept. 18, 2003
Express Mail Mailing Number (optional):			

**FEE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** \$ 170.00**Complete if Known**

Application Number	10/035,790
Filing Date	December 24, 2001
First Named Inventor	Edward N. Ignatoff
Examiner Name	Hosain T. Alam
Art Unit	2155
Attorney Docket No.	61628-05665

**RECEIVED**

SEP 26 2003

Technology Center 2100

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick &amp; West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** \$ 170.00**SUBMITTED BY**

Name (Print/Type)

Stuart P. Meyer

Registration No.  
(Attorney/Agent)

33,426

**Complete (if applicable)**

Telephone: (650) 335-7286

Signature

Date

September 8, 2003